

ETHICS COMMISSION
INDIAN OLYMPIC ASSOCIATION (IOA)

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|---|---------|
| 1. <u>Preamble</u> | 1 - 4 |
| 2. <u>Rules</u> concerning conflicts of interests affecting
The behavior of IOA parties. | 5 - 7 |
| 3. <u>Rules</u> of conduct applicable to all cities wishing
To organize the national games and other games
Organized under the aegis of IOA. | 8 - 10 |
| 4. <u>Appendix</u> '1' | 11 - 14 |
| 5. <u>Statutes</u> of Ethics Commission | 15 - 16 |
| 6. <u>Rules</u> of procedure governing the investigation
Of cases brought before the IOA Ethics Commission | 17 - 18 |
| 7. <u>Members</u> of IOA Ethics Commission 2017 — 2021 | 19 |

IOA CODE OF ETHICS

PREAMBLE

The IOA and each of its members, National Sports Federation / State Olympic Associations wishing to organize the National Games and other Games organized under the aegis of Indian Olympic Association and the Organizing Committees of the National Games and other Games organized under the aegis of IOA and the State Olympic Associations (hereinafter called "the IOA parties") restate their commitment to the Olympic Charter and in particular its Fundamental Principles. The IOA parties affirm their loyalty to the Olympic ideal inspired by; Pierre de Coubertin.

Consequently, at all times the IOA parties and in the framework of the National Games and other Games organized under the aegis of IOA, the participants, undertake to respect and ensure respect of the present Code.

The National Sports Federations and Recognized Organization shall adopt a code of the ethics based on the principles and rules of the IOA Code of Ethics or adopt the IOA Code of Ethics in a written declaration.

A. DIGNITY

- 1) Safeguarding the dignity of the individual is a fundamental requirement of Olympism.
- 2) There shall be no discrimination between the participants on the basis of race, gender ethnic origin, religion, philosophical or political opinion, marital status or other grounds.
- 3) All doping practices at all levels are strictly prohibited. The provisions against doping in the World Anti- Doping Code/National Anti-Doping Code shall be scrupulously observed.
- 4) All forms of harassment of participants, be it physical professional, sexual or Mental and any physical or mental injuries to participants are prohibited.
- 5) All forms of participation in, or support for betting related to the National Games and other Games organized under the aegis of IOA and all forms of promotion of betting related to the National Games and other Games organized under the aegis of IOA are prohibited.

- 6) Also, in the context of betting, participants in the National Games and other Games organized under the aegis of IOA must not, by any manner whatsoever, infringe the principle of fair play, show non-sporting conduct, or attempt to influence the result of a competition in a manner contrary to sporting ethics.
- 7) The IOA parties shall guarantee the athletes conditions of safety, wellbeing and medical care favourable to their physical and mental equilibrium.

B. INTEGRITY

- 1) The IOA parties or their representatives shall not, directly or indirectly, solicit, accept or offer any form of remuneration or commission, nor any concealed benefit or service of any nature, connected with the organization of the National Games and other Games organized under the aegis of IOA.
- 2) Only gifts of nominal value, in a accordance with prevailing local customs, may be given or accepted by the IOA parties, as a mark of respect or friendship. Any other gift must be passed on to the organization of which the beneficiary is a member.
- 3) The hospitality shown to the members and staff of the IOA parties, and the persons accompanying them, shall not exceed the standards prevailing in the host country.
- 4) The IOA parties shall respect the Rules Concerning Conflicts of Interests Affecting the Behaviour of Olympic Parties.
- 5) The IOA parties shall use due care and diligence in fulfilling their mission. They must not act in a manner likely to tarnish the reputation of the Olympic Movement.
- 6) The IOA parties, their agents or the representatives must not be involved with firms or persons whose activity or reputation is inconsistent with the principles set out in the Olympic Charter and the present Code
- 7) The IOA parties shall neither given nor accept instructions to vote or intervene in a given manner within the organs of the IOA.

C. GOOD GOVERNANCE AND RESOURCES

- 1) The basic universal principles of good governance of the Olympic movement, in particular transparency, responsibility and accountability, must be respected by all Olympic Movement constituents.
- 2) The resources of the IOA parties may be used only for National purposes.

3)

3.1 The income and expenditure of the IOA parties shall be recorded in their accounts, which must be maintained in accordance with generally accepted accounting principles. An independent auditor will check these accounts

3.2 In cases where in IOA gives financial support to IOA parties.

- a) The use of these resources for National Games and other Games organized under the aegis of IOA purposes must be clearly demonstrated in the accounts;
- b) The accounts of the IOA parties may be subjected to auditing by an expert designated by the IOA Executive Board.

3.3 The IOA parties recognize the significant contribution that broadcasters, sponsors, partners and other supporters of sports events make to the development and prestige of the National Games and other Games organized under the aegis of IOA throughout the Country.

However, such support must be in a form consistent with the rules of sport and the principles defined in the Olympic Charter and the present Code. They must not interfere in the running of sports institutions. The organization and staging of sports competitions are the exclusive responsibility of the independent sports organizations recognized by the IOA.

D. CANDIDATURES

The IOA parties shall in all matters respect the various manuals published by the IOA linked to the selection of host cities of the National Games and other Games organized under the aegis of IOA, in particular the Rules of Conduct Applicable to All Cities wishing to Organise the National Games and other Games organized under the aegis of the IOA.

E. RELATIONS WITH STATES

- 1) The IOA parties shall work to maintain harmonious relations with state authorities, in accordance with the principle of universality and political neutrality of the Olympic Movement.
- 2) The IOA parties are free to play a role in the public life of the states to which they belong. They may not, however, engage in any activity or follow any ideology inconsistent with the principles and rules defined in the Olympic Charter and set out in the present Code.
- 3) The IOA parties shall Endeavour to protect the environment on the occasion of any events they organize. In the context of the National/State Games and other

Games organized under the aegis of TOA, they undertake to uphold generally accepted standards for environmental protection.

F. CONFIDENTIALITY

The IOA parties shall not disclose information entrusted to them in confidence. The principle of confidentiality shall be strictly respected by the IOA Ethics Commission in

all its activities. Disclosure of other information shall not be for personal gain or benefit, nor be undertaken maliciously to damage the reputation of any person or organization.

G. IMPLEMENTATION

- 1) The IOA parties shall see to it that the principles and rules of the present Code are applied.
- 2) The IOA parties shall inform the IOA President of any breach of present Code, with a view to possible referred to the IOA Ethics Commission.
- 3) The IOA Ethics Commission may set out the provisions for the implementation of the present Code in a set of implementing Provisions.

H. COMPLAINTS

All complaints to the Ethics Commission referable to the Ethics commission would be brought to the President of IOA with a fee of Rs. 1,00,000/- (Rupees One Lakh) in the name of IOA Ethics Commission and the said complaint would be referred to the Panel, composition of which may be decided by; the President of IOA.

OR

Otherwise, the IOA President may refer any complaint suo-moto without the charge of any fee and the expenses would be reimbursed by the IOA from its accounts.

Rules Concerning Conflicts of Interests Affecting the Behavior of IOA parties

Article 1

Scope of Application

These Rules apply to IOA parties as defined by the IOA Code Ethics preamble; Indian Olympic Association and each of its members, National Sports Federations/ State Olympic Association/State Sports Associations, Organizing Committees for the National Games and other Games organized under the aegis of IOA, cities wishing to organize the National Games and other Games under the aegis of IOA and, in the frame of the Games, to the Participants.

With respect to legal persons among the IOA parties, these Rules are applicable to all members or staff with actual decision-making power within them. Each such legal person may define other categories of persons for whom these Rules can be applicable, while informing the Ethics Commission.

Article.2

Definition

- 1) In the context of the provisions of these Rules, a distinction is made between the situation of a "potential conflict of interests" and the case of a "conflict of interests". Only conflicts of interests are prohibited.
- 2) A situation of a potential conflict of interests arises which the opinion or decision of a person, acting alone or within an organization, in the framework of the activities of the physical or legal persons defined in Article 1 above, may be reasonable considered as liable to be influenced by relations that the aforementioned person has, has had or is on the point of having with another person or organization the would be affected by the person's opinion or decision.
- 3) A case of conflict of interests is constituted when any person who, having abstained from declaring a situation of potential conflict of interests, expresses an opinion or takes a decision in the circumstances described in the above paragraph 2.

Article 3

Types of interests to take into consideration

In assessing the situations described in Article 2 above, direct as well as indirect interests must be taken into account. This also includes the interests of a third person (parent, spouse, relation or dependent).

In the following non-exhaustive list of examples, the circumstances in which a conflict of interests could arise are:

- .. Personal and/or material involvement (salary, shareholding, various benefits) with suppliers of the IOA party concerned:

- .. Personal and/or material involvement with sponsors, broadcasters, various contracting parties;

- .. Personal and/or material involvement with an organization liable to benefit from the assistance of the IOA parties concerned (including subsidy, approval clause or election).

Article 4

Resolution of conflicts

It is the personal responsibility of each person to avoid any case of conflict of interests.

Faced with a situation of a potential conflict of interests, the person concerned must refrain from expressing an opinion, from making or participating in making a decision or . accepting any form of benefit whatsoever. However, if the person wishes to continue to act or if the person is uncertain as to the steps to take, the person must inform the Ethics Commission of the situation; the Ethics Commission then takes the steps foreseen in Article 5.

The information given will be kept confidential.

Article 5

Role of the Ethics Commission

The IOA Ethics Commission is responsible for advising members of IOA at their request, in a situation of a potential conflict of interests.

The Ethics Commission proposes to the person concerned a solution from the following options;

- .. Registering the declaration without any particular measure;

- Removal of the person involved from part or all of the action or from the decision of the IOA parties at the root of the conflict;
- Relinquishment of the management of the external interest causing of the conflict; Complementary measures may also be proposed.

The person concerned then takes the steps that he/she considers appropriate, subject to the Commission's application of the second paragraph of Article 7 below.

Article 6

Procedure

Any case of conflict of interests is dealt with accordance with the provisions of constitution the Rules of Procedure of the Ethics Commission.

The Ethics Commission is responsible, in the final instance, for taking decisions concerning conflicts of interests.

Article 7

Undeclared conflicts of interests

In the event that a person neglects to declare a situation of a potential conflict of interests, the President IOA/EC may refer the case to the Ethics Commission in accordance with the conditions set out in its rules.

Article 8

Enforcement

The provisions set forth in the third paragraph of Article 2 above shall apply to any situation of a potential conflict of interests, which is not declared by the person concerned.

Article 9

Execution

The IOA Executive Council is responsible, for the execution of these Rules.

Implementing Provisions of the IOA Code of Ethics.

Rules of Conduct Applicable to All Cities Wishing to Organize the National Games and other Games organized under the aegis of IOA

Article 1

Scope of application

These Rules of Conduct apply to cities wishing to organize the National Games and other Games organized under the aegis of IOA and to their State Olympic Associations (SOAs), as well as any person or organization acting on their behalf.

The cities are successively; Cities wishing to become Applicant Cities, Applicant Cities and then Candidate Cities. • In the present text, the term "cities" applies to all three categories.

These Rules are applicable as soon as they are published on the IOA website.

Article 2

Principles

The conduct of the cities shall comply strictly with the provisions of the Olympic Charter, the IOA code of Ethics and its implementing Provisions. The cities shall also respect the procedure for evaluating the candidature established by the IOA.

Article 3

Audit.

As soon as an entity or any organization in charge of promoting a city, notably a bid committee, is created, and no later than three months after publication by the IOA of the list of Applicant Cities, such entity or organization shall designate an independent expert responsible for auditing the financial management of the candidature and shall inform the IOA of the name of the chosen expert. The above entity created for the purpose undertakes to provide the IOA with the audit report in accordance with the IOA's instructions.

Article 4

Logo — Emblem

The Applicant Cities may use a logo, which does not feature the IOA symbol. The Candidate Cities may adopt an emblem, which includes the IOA SYMBOL. The

creation and use of the logo and emblem are subject to the conditions listed in Appendix 1 of Rules of Ethics Commission of IOA.

Article 5

Statement of activities

The SOA of each Applicant City Shall provide the IOA Ethics Commission with a list of National sport competitions and meetings of IOA-organizations to take place in its territory. .

This list concerns all the events scheduled, or in the process of being scheduled.

The State Olympic Association shall provide this list within three months from the date of publication by the IOA of the list of Applicant Cities.

Any addition to the list of meetings and competitions shall be submitted beforehand to the IOA Ethics Commission for its review.

Article 6

Assistance to SOAs

The SOA of each Applicant City shall provide the IOA Ethics Commission with a list of all agreements and all assistance programmers, of any nature

The State Olympic Association/Organizing Committee of National Games and other Games organized under the aegis of IOA shall provide this list within three months from the date of publication by the IOA of the list of Applicant Cities.

After the date of publication of the present Rules on the IOA website, any new agreement of any nature with any State Olympic Association shall be submitted beforehand to the IOA Ethics Commission for its review.

Article 7

Internet

The Applicant and Candidate Cities may create their own Internet site for informative purposes only.

The site may list third parties providing financial support to the candidate, subject to the conditions listed in Appendix 1. The sale of promotional items is permitted through the site, subject to the conditions fisted in Appendix.

Article 8

Promotion

Throughout the procedure, the promotion of the candidature shall take place with dignity and moderation. The city and its State Olympic Association are entirely responsible for all forms of promotion. Any person or organization acting on behalf of a city shall respect, in particular, the provisions of this article.

The IOA reserves the right to issue additional specific provisions concerning promotional activities during major events (e.g. the National Games and any other Games organized under the aegis of IOA or IOA Session at which the host city is elected).

National Promotion.

During the phase before the publication of the list of Applicant Cities, the cities wishing to become Applicant Cities are permitted to promote their candidate solely on the occasion of national events held on the territory of their States.

Applicant Cities are permitted to promote their candidature solely on the occasion of National Events.

Article 9

Gifts

No gifts, of whatever value, may be given to or received by IOA parties. No promise of any kind of advantage may be made.

This twofold prohibition shall be respected by the cities and their NOCs as well as by all those acting on behalf of or supporting the candidature.

The same principle applies to the cities' relations with third parties, in particular the media and organizations recognized by the IOA.

APPENDIX '1'

Conditions governing the use of logos, emblems and designations of Applicant and Candidate Cities for the National Games and other Games organized under the aegis of IOA.

1. Definitions:

Subject to the prior written approval of the Indian Olympic Association (IOA) of the territory in which the Applicant City or Candidate City (jointly, City or Cities) is located, and Applicant City may create a logo (as hereinafter defined) and a Candidate City may create an emblem (as hereinafter defined). Applicant cities may not use the Olympic symbol (i.e. the five interlaced rings) and IOA Symbol in any manner whatsoever.

If the city wishing to become Applicant City decides to have a logo, the later must respect the provisions of point l.a) and l.b)

1.1 For the purpose of these Rules of Conduct, logo shall mean a graphic device composed of:

- a) A distinctive element that:
 - Shall not contain any component of the IOA emblem or a distorted version thereof or a design confusingly similar thereto:
 - Shall not be limited to the name or abbreviation of the territory in which the City is located.
 - Shall not contain an image or expression with a well-known international or universal connotation/message:
 - Shall not contain the Olympic symbol, the Olympic motto the Olympic flag, any other Olympic-related imagery (e.g flame, torch, medal etc), slogan, designation or other indicia or the distorted version thereof or a design confusingly similar thereto.
 - the name of the City and the year of the National Games and other Games organized under the aegis of IOA for the hosting of which the City is an applicant or candidate and
 - the words "Applicant City" or "Candidate City", as appropriate.

The position, proportion and design of the logo must not be altered, distorted or re-drawn in any way whatsoever. The logo must always be reproduced in its entirety and no single element thereof may be used separately

1.2 For the purpose of these Rules of Conduct, emblem shall mean a graphic device composed of:

- a) The logo including the words "Candidate City" and
- b) The Olympic and IOA symbol

The emblem shall reproduce fully, accurately and without embellishment, the colour, design and appearances of the Olympic and IOA symbol and shall respect the following proportions: the Olympic symbol shall not occupy more than a third of the total surface of the emblem.

1.3 For the purposes of these Rules of Conduct, designation shall mean "Sponsor of City" + "year of the National Games and any other Games organized under the aegis of IOA for the hosting of which the City is an applicant or candidate " and no other designation, it being understood that the designation shall not include the word "Olympic".

2. Use of the logo by Applicant Cities and Candidate Cities.

2.1 Institutional use:

Applicant Cities and Candidate Cities may use the logo on their stationery (e.g. letter heads, business cards), candidature documents (e.g. presentations, brochures or videos), internet site and pins distributed free-of-charge only (not for sale)

2.2 Commercial use:

a) Subject to the prior approval of the IOA, Applicant Cities and Candidate Cities may authorize the use of the logo and/or the designation by third parties providing financial support to the bid, provided that:

- Such third party is not a donor
- Such use is restricted to the territory of NOC concerned.

Cities shall provide the IOA, upon request, with copies of all material promotional and commercial.

b) Agreements with third parties providing financial support to the bid shall expressly state that:

- In the event that the Applicant City is not selected by the IOA as a Candidate City, all rights granted by the applicant city to use the logo and/or designation terminate on the date of announcement of the Candidate Cities selected by the IOA.
 - All rights granted by Candidate Cities to the use of the logo and/or designation terminate on the date of the decision to award the National Games and other Games organized under the aegis of IOA for which the City is a candidate; and
 - Third parties providing financial support to the bid shall have no automatic or binding residential rights, options or other arrangements of any nature, express or implied, with respect to the National Games and other Games under the aegis of IOA of the Candidate City is successful.
- c) Subject to prior IOA approval, Applicant Cities and Candidate Cities may develop merchandise bearing the logo for sale and/or give-away to promote the bid, provided that the sales, whether through the official internet site of the city or otherwise, are limited to the territory of NOC.

3. Use of emblem by Candidate Cities.

3.1 Institutional use.

Candidate Cities may use the emblem on their stationery (e.g. letterheads, business cards), candidature document (e.g. presentations, brochures or videos) and internet site, in (free) editorials related only to the candidature and on pins distributed free-of-charge only (not for sale).

3.2 Commercial use

Candidate Cities shall not use or authorize the use of the emblem by third parties for any commercial purposes whatsoever.

4. Recognition of and communication by third parties providing financial support to the bid.

- 4.1** Cities may list the names of third parties providing financial support to the bid (including donors) on their official internet site or in their publications.
- 4.2** Donors which are competitors in the category of Olympic sponsor or a sponsor of the IOA concerned shall not be authorized to communicate with respect to their donation to the bid or otherwise associate themselves with the bid in any manner whatsoever.

5. If the Candidate City is awarded the National Games and other Games organized under the aegis of IOA the provisions of the Host City contract between such city. The IOA together with the provisions of the Olympic Charter shall apply thereafter.
6. Cities may not make any use of the Olympic and IOA symbol except as expressly provided for above.

Ethics Commission

Statutes of the IOA Ethics Commission.

Implementing Provision of the Statutes of the IOA Ethics Commission:

Rules of Procedure Governing the Investigation of Cases Brought Before the IOA Ethics Commission

Statutes of the IOA Ethics Commission

A. Composition and Organization

1. The IOA Ethics Commission (the Commission) is independent; it is composed of nine members, among whom there shall be:
 - No more than four IOA Members,
 - At least five persons who are not IOA Members. These nine members shall include a representative of the IOA Athletes' Commission.
2. The members of the Commission shall be designated by the IOA President, and their appointment is subject to ratification by the IOA Executive Board.
3. The Chairman of the Commission shall be appointed by the IOA President.
4. The Commission reports to the IOA Executive Board.
5. The Commission meets when convened by its Chairman, at least on a semi-annual basis. The required quorum is constituted if at least five of the members are present.
6. The Commission shall be assisted by a Secretary appointed by the Commission Chairman in consultation with the IOA President. His or her tasks are defined in a job description approved by the Commission Chairman and the IOA President.

B. Terms of reference of the Commission

1. In the framework of the competence of the Commission as defined in the Olympic Charter, the terms of reference of the Commission are:
 - 1.1 To provide opinions and recommendations to the IOA Executive Board on cases submitted by the IOA President, and to give advice at the request of the IOA Members and/or members of the Olympic Movement;

1.2 To perform any other task, linked to the development of and respect for the ethical principles, assigned to it by the IOA Executive Board and/or the IOA President.

2. The Commission presents an annual report on its activities to the IOA General Assembly. This report will be published.

C. Conditions required for Commission membership

Members of the Commission shall not take any measure nor exercise any influence in relation to a matter where any conflict of interests or any other conflict exists or is perceived to exist.

D. Term of appointment of Commission members

1. The duration of the term of a Commission member is four years. Such term is renewable. The term of a person who is a Commission member by virtue of his or her IOA membership shall end when such person ceases to be an IOA Member. He or she may however be appointed as a Commission member in the category of persons who are not IOA Members.
2. The term of office of a Commission member takes effect on the day his/her appointment is approved by the IOA Executive Board.
3. In the event of the Chairman being impeded from performing his or her duties as Chairman, the longest serving member of the Commission shall perform these functions. In the event of death, resignation or inability of a member to perform his or her functions, the member shall be replaced. Any member of the Commission who is to be replaced shall remain in office until a replacement has been approved by the IOA Executive Board.
4. A Commission member may be removed from office only by a decision of the IOA Executive Board and with the approval of two-thirds of the Commission members, the member concerned being heard by the Commission.

Rules of Procedure governing the Investigation of Cases brought before the IOA Ethics Commission

A. Violations of ethical principles or rules

- 1.** Referrals to the IOA Ethics Commission (the Commission) are made in writing to the IOA President. Any complaint or denunciation sent directly to the Commission is immediately forwarded to the IOA President for analysis and possible official referral to the Commission. In the case of a complaint or denunciation involving the IOA President, the analysis and possible referral to the Commission are performed by two of the IOA Vice-Presidents, respecting protocol order.
- 2.** Any person implicated in a case submitted to the Commission is immediately informed. Such person may make his/her observations if he/she deems it necessary to do so. If the person in question is a legal person, the Commission will inform its representative. Such representative may make his/her observations if he/she deems it necessary to do so.
- 3.** When conducting an inquiry, the Commission may take all appropriate measures, including:
 - 3.1** Ask for written information or documents from the parties concerned;
 - 3.2** Hear the parties concerned, with or without the presence of legal counsel and in the circumstances it decides;
 - 3.3** Decide to hear witnesses as its own decision or at the request of the parties concerned;
 - 3.4** Travel to the place concerned, send one of its members or delegate a person to go there if it deems that such action may clarify the proceedings under way;
 - 3.5** Appoint one or more experts tasked with assisting it on one or more points and establish the scope of their terms of reference and remuneration within the limits of its operating budget.
- 4.** The Commission Chairman may appoint one of the Commission members as a rapporteur. The Chairman may delegate the rapporteur to hear the parties concerned.

5. The Commission deliberates in camera and takes the decisions it considers appropriate. The Commission's deliberations are led by the Chairman. In the absence of a consensus, decisions by the Commission will be taken by a simple majority of the members present. Votes are taken by secret ballot if the Chairman or a majority of members present request it. Proxy votes are not permitted. If necessary, the members may take part in the deliberations by telephone conference or videoconference. In certain circumstances, the Commission members may be consulted by means of circulating the documents. The deliberations and votes are confidential.
6. The Commission may propose to the IOA Executive Board the measures or sanctions or any other appropriate measure.
7. At the end of an inquiry, the conclusions and recommendations of the Commission are submitted by its Chairman to the IOA Executive Board through the intermediary of the IOA President. Any inquiry involving a natural or legal person must remain confidential until such time as the IOA Executive Board takes a decision on the conclusions and recommendations of the Commission. Before such decision by the IOA Executive Board, the IOA President may refer to the Commission for a second time points addressed in its conclusions and recommendations, citing other elements.

B. Requests for an opinion

1. The various organs of the IOA may ask the Commission for an opinion. Such request must be forwarded to the Commission Chairman by the IOA President. The IOA Members and the members of the Olympic Movement may also ask the Commission for an advisory opinion. Such requests must be made in writing.
2. The opinions issued by the Commission are not binding upon it with regard to the exercise of its other competences.

MEMBERS OF IOA ETHICS COMMISSION

2017-2021

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| 1) Justice (Retd.) Mr. V. K. Gupta | Chairman |
| 2) Justice (Retd.) Mr. Bhanwar Singh | Member |
| 3) Mr. Uma Kant Uniyal, (Ex. Advocate General) | Member |
| 4) Mr. Deepak Jyoti Ghildiyal, (Retd. IPS) | Member |
| 5) Mr. Vijay Kumar (IPS) | Member |
| 6) Mr. Sudhanshu Mittal | Member |
| 7) Mr. Anil Khanna | Member |
| 8) Mr. Sudershan Pathak | Member |
| 9) Mr. Shiva Keshavan | Member |